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Re Test File: Medicare Individual provider Enrollment File Changes



Medicare Individual
Providers List.pdf



NCPDP Prescriber
Task Group - Medicare

Good Afternoon To All,

The NCPDP Prescriber Task Group thanks CMS for the consideration and efforts put into enhancing the Medicare Individual provider Enrollment file to support a streamlined point of service and PDE prescriber enrollment validation process.

The task group is currently reviewing the use of the Update_Date and Update_Flag attributes, to determine if these alone will provide the necessary detail to support the variability that will occur in prescriber enrollment and claim data. Based on a preliminary review, it appears that additional changes may be needed, such as many of those outlined in the March 30, 2016 NCPDP recommendations document (attached). The task group is performing a comprehensive review to ensure only minimum necessary changes are considered. The task group plans on providing a more detail overview, including recommendations after the July 11th task group meeting. As with all stakeholders, time is of the essence in order to meet the February 2017 timeline.

Additionally, the below questions were raised as a result of a review of the test data files. Claims processing systems will need to understand the reasons why these specific situations occur, so that the applicable rules can be established to support the anomalies. NCPDP appreciates CPI's support in responding to the below questions.

A. MEDICARE INDIVIDUAL PROVIDER ENROLLMENT TEST FILE QUESTION:

- 1) There are differences in the record counts between test and production files. Are the test files just a subset of the production files, or should the test file be a mirror of production?
- 2) Some records that were updated in previous test file are no longer present (i.e. dropped) in following weeks test file. Is this to be expected, or should the record count not decrease from test file to test file.
- 3) All Date fields have changed to a Date/Time format. This is a significant formatting change. Can CPI confirm this is what will be in the production files?

- 4) Will subsequent test files provide examples of the Update_Flag of “E” and “ET”?
- 5) Will the weekly production files be Full File refreshes, displaying a current and historical view of the provider enrollment status?
- a. Or will the Full File be provided on a monthly basis and the weekly files will include adds/updates?
- 6) Presence of records that have an update flag in the 6/20 test file, but there are seemingly **NO CHANGES** to the record compared to the 6/13 test file. Why are these records flagged with an Update Date and Update Flag of T?

WEEK	NPI	FIRST_NAME	LAST_NAME	EFFECTIVE_DATE	END_DT	OPT_OUT_FLAG	UPDATE_FLAG	UPDATE_DATE
6/13	1003091182	TRACY	MARTINO	11/01/2014 12:00:00 AM	11/13/2014 12:00:00 AM	N	T	06/13/2016 12:00:00 AM
6/20	1003091182	TRACY	MARTINO	11/01/2014 12:00:00 AM	11/13/2014 12:00:00 AM	N	T	06/20/2016 12:00:00 AM
6/13	1003011818	ROBERT	CARLISH	04/15/2015 12:00:00 AM	04/15/2017 12:00:00 AM	Y	T	06/13/2016 12:00:00 AM
6/20	1003011818	ROBERT	CARLISH	04/15/2015 12:00:00 AM	04/15/2017 12:00:00 AM	Y	T	06/20/2016 12:00:00 AM

- 7) Presence of multiple records that have the same date/time stamp in the 06/13 and 6/20 test files, with two different End Dates. Should there be more than one record with the same Update Date/Time? If so, which record does the Plan Sponsor Use?

WEEK	NPI	FIRST_NAME	LAST_NAME	EFFECTIVE_DATE	END_DT	OPT_OUT_FLAG	UPDATE_FLAG	UPDATE_DATE
6/13	1003012618	GINEVRA	LIPTAN	04/01/2016 12:00:00 AM	04/01/2018 12:00:00 AM	Y	T	06/13/2016 12:00:00 AM
6/13	1003012618	GINEVRA	LIPTAN	11/01/2014 12:00:00 AM	03/31/2016 12:00:00 AM	Y	T	06/13/2016 12:00:00 AM

6/20	1003012618	GINEVRA	LIPTAN	04/01/2016 12:00:00 AM	04/01/2018 12:00:00 AM	Y	T	06/20/2016 12:00:00 AM
6/20	1003012618	GINEVRA	LIPTAN	11/01/2014 12:00:00 AM	03/31/2016 12:00:00 AM	Y	T	06/20/2016 12:00:00 AM

8) Presence of records that have an Update Flag of "T" in the 6/20 test file, but no corresponding record in the 6/13 test file to support that a change to the End Date has occurred. Is this just mocked data for test file purposes, where production files will have a pre-existing record that correlates to the change in the End Date ?

WEEK	NPI	FIRST_NAME	LAST_NAME	EFFECTIVE_DATE	END_DT	OPT_OUT_FLAG	UPDATE_FLAG	UPDATE_DATE	Checking against Production files
6/13									This NPI was last observed in the 5/16 production file with the same effective and end date. NPI not observed in any recent production files since then.
6/20	1932263191	JAMES	DREW	11/01/2014 12:00:00 AM	04/01/2016 12:00:00 AM	Y	T	06/20/2016 12:00:00 AM	
6/13									This NPI not observed in any production files.
6/20	1124376504	REGINA	TRAN	03/13/2016 12:00:00 AM	03/13/2018 12:00:00 AM	Y	T	06/20/2016 12:00:00 AM	

9) Presence of records that have an Update Flag of "T" in the 6/20 test file, but no corresponding record in the production file. Is the test data related to enrollment End Dates actual enrollment status data that should also be reflected in production?

WEEK	NPI	FIRST_NAME	LAST_NAME	EFFECTIVE_DATE	END_DT	OPT_OUT_FLAG	UPDATE_FLAG	UPDATE_DATE	Checking against Production files
6/13	1043413412	JUAN	VALDIVIA VALDIVIA	02/16/2015 12:00:00 AM		N			This NPI with the 2/16/15 enrollment start date exists in both test files and production files.
6/20	1043413412	JUAN	VALDIVIA VALDIVIA	02/16/2015 12:00:00 AM		N			
6/20	1043413412	JUAN	VALDIVIA VALDIVIA	11/01/2014 12:00:00 AM	02/01/2015 12:00:00 AM	N	T	06/20/2016 12:00:00 AM	This NPI with 11/1/14 - 2/1/15 enrollment date range was last observed in the 6/6/16 production file. This instance is not present in any recent production files since then.

Thank you

Sharon

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